

INTRODUCTION

Greenroads is a sustainability performance metric for roadways that awards points for more sustainable practices. A concise listing of Greenroads credits can be found at the end of this document. Fundamentally, Greenroads is a metric that helps quantify the sustainable attributes of a roadway project. This quantification can be used to:

- Define what project attributes contribute to roadway sustainability.
- Provide a sustainability accounting tool for roadway projects.
- Communicate sustainable project attributes to stakeholders.
- Manage and improve roadway sustainability.
- Grant “certification” based on achieving a minimum number of points.

Greenroads is a publically available system that can be used by anyone. However, the Greenroads logo and name remain the property of Greenroads and may only be used with permission. Any use of the Greenroads system requires proper citation of Greenroads as the origin of these ideas.

WHAT IS A GREENROAD?

A Greenroad is defined as roadway project that has been designed and constructed to a level of sustainability that is substantially higher than current common practice.

OVERVIEW

Greenroads is a collection of sustainability best practices that apply to roadway design and construction. These best practices are divided into two types: required and voluntary. Required best practices are those that must be done as a minimum in order for a roadway to be considered a Greenroad. These are called “Project Requirements,” of which there are 11. Voluntary best practices are those that may optionally be included in a roadway project. These are called “Voluntary Credits”. Each Voluntary Credit is assigned a point value (1-5 points) depending upon its impact on sustainability. Currently, there are 37 Voluntary Credits totaling 108 points. Greenroads also allows a project or organization to create and use its own Voluntary Credits (called “Custom Credits”), subject to approval of Greenroads, for a total of 10 more points, which brings the total available points to 118.

Project teams apply for points by submitting specific documentation in support of the Project Requirement or Voluntary Credit they are pursuing. These documents, which can range from project specifications to field documentation, are verified by an independent review team. Once a project is complete the Greenroads team verifies the application and assigns a Greenroads score based on achieving all the Project Requirements and the number of points earned from the Voluntary Credits. This score may then be used at the owner’s discretion and may also be translated to a standard achievement level or “certification” if so desired: the more points earned, the higher the recognition. If a project reaches a certification level it will be able to display the Greenroads logo and appropriate certification graphic once permission from the Greenroads team is given. The Greenroads certification levels are detailed in a subsequent section of this document.

Owner agencies, developers, design consultants and contractors may wish to pursue official certification or use Greenroads in other ways that are either voluntary or prescriptive. For instance, developers and designers may wish to use Greenroads as a list of potential ideas for improving the sustainability of a roadway project. Or, owners may wish to use Greenroads point values or certification levels as goals or benchmarks for new roadway projects or metrics by which they can measure and manage their roadway sustainability efforts.

STAKEHOLDERS

There are a number of stakeholders who may have interest in a roadway sustainability rating system. Each stakeholder is likely to have opinions on how Greenroads should work; however it should be noted that not all points of view can be fully accommodated. Stakeholders include:

- Road owners: federal, state, county and city agencies as well as the general public.
- Funding agencies: federal, state, county, city and other regional authorities
- Design consultants: those involved with corridor, road or even parking lot design
- Contractors: heavy construction, road and paving contractors
- Regulatory agencies: U.S. Environmental Protection Agency
- Sustainability organizations: U.S. Green Building Council (USGBC), Green Highways Partnership, Sierra Club, etc.
- Research organizations: universities and other research organizations that participate in investigating related sustainable technologies.

GREENROADS DEVELOPERS

Greenroads is a research project that is working towards general implementation and use. It is being developed jointly by the University of Washington (UW) and CH2M HILL. Research at the University of Washington is headed by Steve Muench, an Assistant Professor in the Department of Civil and Environmental Engineering, while work at CH2M HILL is being led by Tim Bevan, Mountain West Region Technology and Quality Manager, Transportation Business Group. Importantly, although UW and CH2M HILL are developing this system, the brand associated with any rated project will only be the Greenroads brand. You may choose to include UW or CH2M HILL if so inclined.

GREENROADS WEBSITE

All Greenroads work is documented on the official website: www.greenroads.us. Please visit this website to see the latest news, copies of presentations given, rated projects and other Greenroads related information.

GREENROADS ESSENTIALS

This section describes the essentials of the Greenroads rating system. These are items a project may want to know about when deciding whether or not to pursue Greenroads certification.

PROJECT REQUIREMENTS

Project Requirements are the minimum steps that must be completed in order to be considered a Greenroad. They can be thought of as characteristics common to all Greenroads. In order to achieve certification they must all be met and an additional number of Voluntary Credit points must also be earned. In other words, regardless of how many Voluntary Credit points are achieved, if a project does not meet all of the Project Requirements, a Greenroads certification level will NOT be awarded. Project Requirements are listed in their own category at the front of the manual to distinguish them from the Voluntary Credit categories. The Project Requirements also consist of items or procedures that are often related to practices that can achieve points in one or more of the Voluntary Credit categories, which may strategically assist projects that are planning to pursue certification.

VOLUNTARY CREDITS

In addition to Project Requirements, there are a number of Voluntary Credits that a project can earn. Each Voluntary Credit is associated with a number of points (from 1 to 5) depending upon the impact the credit has on sustainability (as defined later in this document). A project chooses to pursue Voluntary Credits on a voluntary basis; none are required. Once those pursued Voluntary Credits are verified by the Greenroads team, the number of points achieved is tallied up and a certification level (see next section), if desired, is awarded.

Voluntary Credits span a wide spectrum of project actions from cultural outreach and multimodal access to safety to pavement materials. Therefore, it is likely that no project will be able to achieve all the Voluntary Credits.

However, the goal of Greenroads is to have enough choice in Voluntary Credits that any significant roadway project could find enough relevant credits to achieve at least a minimum certification level. This means that Greenroads should work for all roadway projects from basic preservation overlays to large, multi-billion dollar corridor projects.

ACHIEVEMENT/CERTIFICATION LEVELS

Greenroads may be used to “certify” a project based on total points achieved. Depending upon the appetite of the project, these levels can be called “achievement” or “certification” levels. Obtaining these levels is an official acknowledgement by Greenroads that a project has met all Project Requirements and achieved enough of the 118 possible Voluntary Credit points to surpass a predetermined certification level. There are four certification levels as shown below:

- Certified: All Project Requirements + 32-42 Voluntary Credit points (30-40% of total)
- Silver: All Project Requirements + 43-53 Voluntary Credit points (40-50% of total)
- Gold: All Project Requirements + 54-63 Voluntary Credit points (50-60% of total)
- Evergreen: All Project Requirements + 64+ Voluntary Credit points (>60% of total)



These levels are subject to revision with new versions of Greenroads and may change in the future as the system is updated. A certified roadway can be considered a Greenroad.

FIT, BOUNDARIES & PHILOSOPHY

This section describes the underlying ideas, scope and limits of Greenroads. It is expected that the basic system will grow and change as sustainability thought, technologies and regulations change. However, the fundamental concepts addressed here are expected to remain relatively constant.

REGULATORY FIT

Greenroads is designed to promote sustainability best practices within and beyond existing federal, state and local regulations. Specifically, Greenroads credits are designed to influence decisions regarding sustainability options where they are not precluded by regulation or where regulation allows a choice between options that could have sustainability impacts. An important corollary to this is that Greenroads is not an absolute measure of sustainability because it does not include sustainability items that are covered by current U.S. regulation (e.g., Clean Water Act, Clean Air Act, National Historical Preservation Act, Americans with Disabilities Act, etc.). However, given that all U.S. agencies are governed by the same set of federal regulations, Greenroads can be considered a sustainability metric built on U.S. standard practice. Greenroads is also meant to encourage organizations to include sustainable practices in their company-wide strategy and daily work practices. Importantly, Greenroads is not meant to dictate design or trade-off decisions. Rather it provides a tool to help with such decisions.

SYSTEM BOUNDARIES

Greenroads is a project-based system. It is applicable to the design and construction of new or rehabilitated roadways including expansion or redesign. Specifically, it applies to (1) the design process and (2) construction activities within the workzone as well as material hauling activities, production of portland cement concrete (PCC) and hot mix asphalt (HMA). This means that some typical items associated with roadways are considered in specific ways that merit explanation:

Roadway Planning

Decisions regarding the location, type, timing, feasibility or other planning level ideas are excluded. While planning is fundamental to roadway and community sustainability, these decisions are often too complex or political to be adequately defined by a point system. Project level planning however, in terms of project development and/or project delivery, is included.

Supply-Chain Processes

Upstream supply-chain activities (such as production of cement and asphalt and manufacturing or refining of metals or other materials) are not explicitly considered. These activities are only considered in lifecycle inventories (LCI) or assessments (LCA), which in Greenroads are effectively used for informing the decision-making process during design and construction. However, ultimately, neither LCI nor LCA can dictate a final decision or choice. This means that specific improvements in these upstream supply-chain processes may not be captured by Greenroads.

Structures

Bridges, tunnels, walls and other structures are not explicitly considered in Greenroads, but they are not explicitly excluded either. At this time, no structurally-specific credits have been identified outside the pavement structure, but could easily be incorporated into future versions of Greenroads (i.e. via the Custom Credits). Non-pavement roadway structures, such as walls, luminaires and barriers, can be included in some credits conceptually as a lump of materials, but there are no credits exclusively for these road-related items. However, many of the existing Project Requirements and Voluntary Credits are appropriate to bridge and tunnel projects, because they are designed to be broadly applicable. Some Voluntary Credits or Project Requirements may require slight modification, though. Comments are welcome regarding adjustments that would need to be made to be more reflective of sustainable activities for bridges and tunnels.

Paths and Trails

Paths and trails, if directly associated with the roadway project (e.g., adjoining foot/bicycle path or sidewalk in the roadway project budget), they are considered. Independent paths and trails (e.g., a conversion of a rail right-of-way to a bicycle path) are excluded but could be addressed within another metric like the Sustainable Sites Initiative (www.sustainablesites.org).

Future Maintenance & Preservation

Maintenance and preservation activities are a key part in the long-term sustainability of a roadway, so there are a number of Project Requirements and Voluntary Credits that reflect these activities and require that a plan is in place for these to be performed sometime in the future. However, a rating system like Greenroads cannot be used to monitor these activities over the long-term. This means that once the Greenroads score is calculated, essentially these maintenance and preservation plans become promises to perform. The current review process for certification does not allow for ensuring that these promises are kept. This does not mean that maintenance and preservation activities, such as overlays and rehabilitation projects, are excluded from applying for or achieving a certification in Greenroads. Ideally, once a project becomes a Greenroad, the maintenance and preservation activities will also continue to follow this framework whether certification for these activities is pursued or not.

GENERAL PHILOSOPHY

The fundamental tenets that guide the development and writing of Greenroads are:

- **Straightforward and understandable.** Non-experts should be able to understand the system. Simplicity is valued over excessive detail because it is more understandable. Project Requirements and Voluntary Credits are often simplistic interpretations of complex ideas; they are bound to contain some controversy however the interpretation should hold true to the fundamental idea.
- **Empirical evidence and existing evaluative techniques.** Project Requirements and Voluntary Credits are based on a preponderance of empirical evidence and, to the extent possible, should be evaluated using existing tools and techniques.
- **Points commensurate with impact.** Items that have high economic, environmental or social impact are assigned more points than low impact items.
- **Flexible.** Greenroads should be able to accommodate a broad range of both urban and rural roadway projects from preservation overlays to major new corridor development. Project Requirements and Voluntary Credits should be applicable anywhere in the U.S. International versions may need further development in the future.
- **Continual evolution.** Over time, better ideas, more complete knowledge and technology advances will require Greenroads to be updated and changed.
- **Minimal bureaucracy.** Pursuing certification requires documentation but documents should either come from existing documents (e.g. plans and specifications) or be simple and inexpensive to produce from existing documents.
- **Beyond minimum requirements.** Greenroads should spur innovation and encourage design and construction decisions based on sustainability considerations that go beyond regulatory requirements. While regulatory requirements and design standards contribute to sustainability, a rating system that awards credit for these items alone essentially becomes a marketing tool that is technically redundant and administratively burdensome.

HOW GREENROADS WAS BUILT

This section describes some of the inner thoughts, definitions and ideas that helped shape Greenroads. These ideas can help the user understand how Greenroads came to be in its current form.

SUSTAINABILITY DEFINED

An essential element for any sort of metric or rating system is a clear and concise definition of sustainability. Greenroads defines “sustainability” as **a system characteristic that reflects the system’s capacity to support natural laws and human values**. This definition contains the key elements of ecology, equity and economy and is essentially consistent but more actionable on a project scale than the often quoted United Nations 1987 Brundtland Commission report excerpt: “...development that meets the needs of the present without compromising the ability of future generations to meet their own needs.”¹ It is also compatible with stated ideals of reports and policy documents such as the Millennium Ecosystem Assessment², “Agenda 21”³, the Kyoto Protocol⁴ and the Copenhagen Accord⁵.

SEVEN COMPONENTS OF SUSTAINABILITY

The definition of sustainability used in Greenroads centers on seven different components that are demonstrated by the metric in a variety of ways. These seven components are the basis for the phrases “natural laws” and

¹ A/RES/42/187

² www.millenniumassessment.org

³ A/CONF.151/26/REV.1[VOL.I] and Corrigendum

⁴ United Nations Framework Convention on Climate Change (UNFCCC), n.d.

⁵ *Ibid.* Decision C.15, 18 Dec. 2009. http://unfccc.int/files/meetings/cop_15/application/pdf/cop15_cph_auv.pdf

“human values.” These components include the three commonly cited principles of sustainability: **ecology, equity, and economy**. Additionally, there are four other essential components to this systems-based definition which are emphasized within the context of the Greenroads metric: **extent, expectations, experience and exposure**.⁶

Greenroads references the first three conditions for sustainable ecosystems outlined by Robèrt (2000)⁷ to illustrate the idea of “natural laws.” These three natural laws govern the basic physical resource needs of human beings. These are summarized:

1. Substances should not be extracted from the earth at a rate faster than they can be regenerated by natural processes.
2. Substances (waste) should not be produced at rate faster than they can be decomposed and reintegrated into an ecosystem.
3. Ecosystems should not be systematically degraded or otherwise disrupted from equilibrium by human activities.

“Human values” include the two commonly referenced principles of equity and economy. Equity⁸ is interpreted as a primarily human concept of meeting nine hierarchical fundamental human needs: subsistence, protection, affection understanding, participation, leisure, creation, identity, and freedom^{9,10}. These societal constraints, including regulations and policy, govern the idea of economy, which means management of financial, natural, manufactured, and human capital resources¹¹. The concept of economy can be scaled down to apply to project-level financial choices or scaled up to more broad practices of resource management such as sustainable forestry, waste management or carbon cap-and-trade arrangements.

Context for the Definition

In addition to ecology, equity and economy, sustainability is context sensitive. Specifically, a project system’s context is sensitive to whatever human needs and values are defined by the management team and stakeholders. Therefore, we identify two more critical sustainability components, extent and expectations that act as the project system boundaries.

Extent represents the scope and defined boundaries of a project, including real physical dimensions and constraints like right-of-way and height restrictions, and construction working hours. In most cases, extent means “lifecycle,” and sometimes timeline or scale when used in Greenroads.

Expectations provide the value context and relevant lifecycle criteria within which the overall performance of the system is most effectively judged. Expectations vary by project and may include practical performance of the individual design elements, overall quality of the construction processes of a road project, or system-wide outcomes like reduced accidents. Using Greenroads as a benchmark for assessing current agency practice or for setting future sustainability goals also exemplifies the idea of expectations.

⁶ More explanation on the seven components of sustainability in J. Anderson’s master’s thesis from the University of Washington entitled, *Sustainability in Civil Engineering* (2008).

⁷ These principles were originally developed as part of: Robèrt, K. -H. (2000). Tools and concepts for sustainable development, how do they relate to a general framework for sustainable development, and to each other? *Journal of Cleaner Production*. 8(3), 243-254.

⁸ Essentially Robèrt’s fourth principle, *ibid*.

⁹ Max-Neef. M.A.; Elizalde, A. and Hopenhayn, H. *Human scale development: conception, application and further reflections*. New York: The Apex Press, 1991.

¹⁰ Maslow, A.H. (1943). A theory of human motivations. *Psychological Review*. 50(4), 370-396.

¹¹ Hawken, P.; Lovins, A.B. and Lovins, L.H. *Natural capitalism: creating the next industrial revolution* (1st ed.). Boston, MA: Little Brown and Co.

Implementing the Definition

It is not enough to believe that the idea of sustainability will self-propagate and implement its own paradigm shift. Thus, the final two important components of sustainability, experience and exposure, translate the philosophical concept of sustainability into implementable practices. Experience includes technical expertise, engineering ingenuity, and knowledge of applicable historical information, which is critical in decision-making processes. For example, most successful project teams are comprised of interdisciplinary experts that can bring specialized experience to design or construction.

Finally, if the concept of sustainability is to cause a paradigm shift in individual, community and societal behavior then it must include an educational component. Exposure represents the idea that implementing sustainability in practice requires ongoing educational and awareness programs for the general public, professionals, agencies, and stakeholders. Therefore, experience and exposure drive the progress and implementation of sustainability within a project system. Without these two components, the system would remain static, and sustainability would be absent, unmanageable or simply unrecognized.

GREENROADS BENEFITS

There are particular sustainability-related benefits associated with Project Requirements and Voluntary Credits. These roughly correlate with the ideas of “natural laws” and “human values” that were outlined in the preceding sections. Greenroads identifies these benefits for each Project Requirements and Voluntary Credit making it easier to at least list, if not exactly quantify, the benefits associated with Greenroads certification. These benefits are:

- | | |
|-----------------------------------|-------------------------------|
| ✓ Reduce raw materials use | ✓ Improve access and mobility |
| ✓ Reduce fossil energy use | ✓ Improve business practice |
| ✓ Reduce water use | ✓ Increase lifecycle savings |
| ✓ Reduce air emissions | ✓ Increase lifecycle service |
| ✓ Reduce wastewater emissions | ✓ Increase awareness |
| ✓ Reduce soil/solid emissions | ✓ Increase aesthetics |
| ✓ Optimize habitat and land use | ✓ Create new information |
| ✓ Improve human health and safety | ✓ Create energy |

We have listed these, along with each of the sustainability components addressed, on the front page of each PR and VC, so that it is easy to identify what is being addressed by acting on that PR or VC. This feature may be particularly helpful for agencies or project teams that have predefined sustainability goals, values or internal benchmarks to meet.

TRACING GREENROADS PRACTICES TO SUSTAINABILITY AND BENEFITS

Each Greenroads Project Requirement and Voluntary Credit can be traced back to at least one relevant sustainability component and one relevant benefit; most can be traced to several. We call this “mapping”, and believe it is important because it provides the basis by which a Greenroads Project Requirement or Voluntary Credit can be considered to contribute to “sustainability” and provide benefits as Greenroads defines them. This mapping involves subjective judgment as to which components and which benefits map to which items. While elimination of this subjectivity would be ideal, more complex systems for mapping would likely just obfuscate rather than eliminate this subjectivity. Some graphs and charts are included at the end of this introduction (Figures I.1 – I.3) that can be helpful for visualizing what we mean.

Mapping of an item back to sustainability and benefits is done, where practical, using empirical evidence with proper citations. The goal is to create a metric where each Project Requirement and Voluntary Credit is, to the extent possible, shown through existing research to have an impact on sustainability.

This mapping can assist in selecting Voluntary Credits to pursue based on user values or desired benefits. Importantly, the nature of sustainability requires users to make trade-offs between different aspects of

sustainability. For instance, one might have to select between using recycled material that must be trucked over a long distance or using locally provided virgin material. Both concepts (recycled material, local material) relate to sustainability (e.g., ecology and economy) however only one can be chosen. Decisions regarding these types of trade-offs are likely to be at least partly, if not wholly, based on the values held by a project, which is a conglomeration of values held by its stakeholders, owners, designers and constructors. Since these values are not likely to be identical between projects, over time or between stakeholders, one predetermined set of values included in a performance metric is probably not wise. Rather, Greenroads allows users to choose from a long list of Voluntary Credits based on their values. Mapping to sustainability components is done because users may find it more straightforward to choose between resources rather than Greenroads Voluntary Credits. For instance, it may be difficult to choose between warm mix asphalt and porous pavement unless a technical expert is consulted to fully explain each item. However, it may be easier to choose between the benefits they offer.

WEIGHTING VOLUNTARY CREDITS

The overall goal of weighting is to make each Voluntary Credit's point value commensurate with its impact on sustainability. This cannot be achieved by a strictly objective or empirical approach because:

- Some sustainability components are difficult to directly compare because there is no generally accepted metric of comparison (e.g., comparing scenic views to stormwater treatment).
- Traditionally accepted quantitative methods, e.g., lifecycle assessment (LCA), lifecycle cost analysis (LCCA), benefit-cost analysis, do not adequately address all sustainability components.
- Greenroads is designed to function as a supplement to current U.S. regulations. Therefore, some areas that might otherwise have been heavily weighted receive less emphasis in Greenroads because current U.S. regulation already requires many mandatory actions leaving little room for supplemental voluntary actions.
- There are some actions for which the direct impact on sustainability may be difficult or impossible to measure, however their execution may provide valuable information on which to base future decisions.

Weighting follows the general framework described here. As a beginning point, we established a minimum value of one point and a maximum value of five points. This range allows weights to reflect a range of sustainability impact but limits the impact of potential missteps. Individual construction activities during initial construction have the lowest impact (see discussion later) on sustainability so we start by assigning these Voluntary Credits one point each. From here Voluntary Credit point values are modified based on the logic presented next. Importantly, weights are based on the relationship of their associated prevailing broad concepts while the actual level of achievement necessary to qualify for a Voluntary Credit is based on an assessment of what is practically achievable given current technology and practice. The goal is to make the level of achievement beyond current practice but enticingly attainable using current technology. Using this logic, it follows that as the industry's sustainability savvy grows and technology advances Voluntary Credit requirements must change. The following sections discuss weighting system details.

Ecology Weighting

While it is difficult to place a value on ecosystem services, some researchers have tried. One effort¹² valued them at US\$16-54 trillion/yr with a mean of US\$33 trillion/yr for 17 ecosystem services (in 1994 US dollars). This compares to a world gross national product (GNP) of US\$18 trillion (1994 US dollars) making ecosystem services about 1.8 times the global GNP if the mean value is assumed. This effort acknowledges that the estimate is on the low side, incomplete and flawed but reason that some estimate is better than none. Based on this, we estimate the value of ecosystems as about three times the value of human economic systems (represented by the baseline value of one point) for the purposes of weighting Voluntary Credits. This uses the

¹² Costanza, R., d'Arge, R., de Groot, R., Farber, S., Grasso, M., Hannon, B., Limburg, K., Naeem, S., O'Neill, R.V., Paruelo, J., Raskin, R.G., Sutton, P., van den Belt, M., (1997). The value of the world's ecosystem services and natural capital. *Nat.*, 387, 253-260.

high end estimate (US\$54 trillion) to at least partially account for their admitted underestimation. From this, we assign EW-2, EW-3, EW-5, EW-6, EW-7, EW-8 and PT-2 three points each because they are primarily concerned with ecosystem services.

Equity Weighting

Equity, as it is reflected in Greenroads can primarily be addressed by portions of what is commonly called context sensitive design (CSD) or context sensitive solutions (CSS). To our knowledge, nobody has attempted to place a monetary value on CSD/CSS however, there is substantial evidence suggesting that it has come to be viewed as an important if not the essential component in U.S. roadway design over the last decade. While CSD/CSS also includes ecological elements, its strength lies in its approach to identifying and involving stakeholders and reflecting community values in a project (the equity component of sustainability). While CSD/CSS provides evidence of equity's importance it does not provide any insight regarding its level of importance in relation to other sustainability components. In fact, it argues that such value is context sensitive. We believe that the U.S. move towards CSD/CSS and its emphasis on a collaborative community-based approach to design (versus a strictly low-cost standards-based approach) shows that equity issues ought to be valued more than the minimum of one point. As a first-order approximation, we assign equity Voluntary Credits two points. Based on this we assign AE-1, AE-5, AE-6, AE-8, AE-9 two points each because they are primarily concerned with equity issues. We assign AE-3 the maximum of five points because it actually gives credit for a CSD/CSS approach, while the other AE Voluntary Credits address outcomes of a CSD/CSS approach.

Incentive-Based Weighting

Some Voluntary Credits are assigned additional points to provide incentive to collect data, undertake organization-wide efforts and obtain high achievement levels. Generally, higher levels of achievement will correlate to incorporating a number of other voluntary activities that may be reflected in other credits too. The following Voluntary Credits use incentive-based weighting: EW-1, EW-2, EW-3, EW-5, AE-1, AE-2, AE-5, AE-6, AE-7, CA-1, CA-4, CA-5, CA-7, MR-1, MR-2, MR-4, MR-5 and PT-5.

Lifecycle Assessment Based Weighting

For Voluntary Credits dealing with materials production, construction, transportation associated with the construction process and traffic use, weighting is based on lifecycle assessment (LCA) results to the greatest possible extent. Since Greenroads is meant to apply to any roadway project, LCA results specific to a particular project cannot be used alone because they are project-specific and not entirely transferrable. However, examining a range of specific LCAs may provide insight into some general trends that could be used to weight Voluntary Credits. We identified 12 roadway LCA peer-reviewed journal papers consisting of 43 assessments of either actual or hypothetical roadways.¹³ Five papers addressed PCC pavements (10 assessments), while all 12 address HMA pavements (34 assessments). Some general trends observed were:

¹³ These papers are:

- Stripple, H. *Life Cycle Inventory of Asphalt Pavements*. IVL Swedish Environmental research Institute Ltd report for the European Asphalt Pavement Association (EAPA) and Eurobitume, 2000.
- Stripple, H. *Life Cycle Assessment of Road: A Pilot Study for Inventory Analysis, Second Revised Edition*. IVL Swedish Environmental Research Institute Ltd report for the Swedish National Road Administration, 2001.
- Mroueh, U-M, Eskola, P., Laine-Ylijoki, J., Life-cycle impacts of the use of industrial by products in road and earth construction. *Waste Management* 21, 2001, pp. 271-277.
- Treloar, G.J.; Love, P.E.D. and Crawford, R.H. Hybrid Life-Cycle Inventory for Road Construction and Use, *J. of Const. Engr. and Mgmt.* 130(1), 2004, pp. 43-49.
- Zapata, P., Gambatese, J.A., Energy Consumption of Asphalt and Reinforced Concrete Pavement Materials and Construction. *J. of Infrastructure Systems* 11(1), 2005, pp. 9-20.

- Energy use and emissions for construction followed the same basic trends in most studies. Some general rules of thumb we found were:
 - ✓ Materials production has 20 times the impact of construction.
 - ✓ Transportation (of materials) has 5 times the impact of construction.
 - ✓ Maintenance has 1/3 the impact of initial construction.
- For the one study that quantified them, roadway operations (e.g., lighting, signals, etc.) over 40 years had about the same energy use as all construction activities (initial construction plus maintenance).
- For the two studies that related them, the energy expended in initial construction of a new roadway is roughly equivalent to the energy used by traffic on the facility over 1-2 years.

Based on these ideas, the following weighting is used:

- **Operations vs. construction:** MR-6 is assigned 5 points.
- **Traffic use vs. initial construction:** AE-2, AE-4, and AE-7 are assigned 5 points each.
- **Transportation associated with construction:** MR-5 is assigned 5 points.
- **Materials production:** MR-2 and MR-4 are assigned up to 5 points each. MR-3 is assigned 1 point and PT-3 is assigned 3 points.

Developed Area Weighting

The Urban Heat Island (UHI) effect is "...a measurable increase in ambient urban air temperatures resulting primarily from the replacement of vegetation with buildings, roads, and other heat-absorbing infrastructure."¹⁴ UHI can impact sustainability by increasing energy consumption, and related emissions and affecting human health and water quality. Based on research from the Lawrence Berkeley National Laboratory¹⁵ a gross approximation is that road pavements constitute about one-quarter the total surface area contributing to the UHI. From this PT-4 is assigned 5 points. This weighting is also consistent with other concerns that are relevant in urban areas: AE-4 and MR-6.

Rajendran, S., Gambatese, J.A. Solid Waste Generation in Asphalt and Reinforced Concrete Roadway Life Cycles. *J. of Infrastructure Systems* 13(2), 2005, pp. 88-96.

¹³(cont.)

Athena Institute. *A Life-Cycle Perspective on Concrete and Asphalt Roadways: Embodied Primary Energy and Global Warming Potential*. Report to the Cement Association of Canada, 2006.

Tramore House Regional Design Office. *Integration of the Measurement of Energy Usage into Road Design*. Rept. to the Commission of the European D-G for Energy and Transport. Project Number 4.1031/Z/02-091/2002, 2006.

Weiland, C.D. *Life Cycle Assessment of Portland Cement Concrete Interstate Highway Rehabilitation and Replacement*. Master's Thesis, University of Washington, Seattle, WA, 2008.

Chui, C-T., Hsu, T-H., Yang, W-F. Life cycle assessment on using recycled materials for rehabilitating asphalt pavements. *Resources, Conservation and Recycling* 52, 2008, pp. 545- 556.

Huang, Y., Bird, R., Bell, M. A comparative study of the emissions by road maintenance works and the disrupted traffic using life cycle assessment and micro-simulation. *Transportation Research Part D* 14, 2009, pp. 197-204.

Huang, Y., Bird, R., Heidrich, O. Development of a life cycle assessment tool for construction and maintenance of asphalt pavements. *J. of Cleaner Production* 17, 2009, pp. 283-296.

¹⁴ U.S. Environmental Protection Agency (EPA). *Heat Island Effect* website. [<http://www.epa.gov/hiri>] Accessed 9 June 2009.

¹⁵ Rose, L.S., H. Akbari, and H. Taha. 2003. Characterizing the Fabric of the Urban Environment: A Case Study of Greater Houston, Texas. Paper LBNL-51448. Lawrence Berkeley National Laboratory, Berkeley, CA.

Durability Weighting

Long life pavement generally results in lower lifecycle costs, less material and fewer traffic interruptions over the lifecycle of a pavement. While more work needs to be done in quantifying these reductions, a value for PT-1 can be attempted by drawing the link between less material and fewer traffic interruptions to less energy and lower emissions. PT-1 is assigned 5 points.

Aesthetic Weighting

One study¹⁶ investigated different monetization approaches for the health impacts from road noise. From their work we assign noise one-third the impact of traffic-related emissions. Since tire-pavement noise is the predominant source of road noise above about 50 km/hr (for automobiles) a change in tire-pavement noise resulting from so-called “quieter pavement” use is about one-third as impactful as actions resulting in traffic-related emissions reduction. Noise reduction characteristics of quieter pavements tend to diminish over time. PT-5 is assigned 2 to 3 points. This correlates with EW-8, which is also assigned 3 points and addresses glare and other unwanted light emissions.

WHY PAVEMENTS SEEM TO BE EMPHASIZED

According to the Bureau of Transportation Statistics (BTS), “Highways and streets are the largest component of public transportation infrastructure spending. Pavement is by far the largest part of that spending, accounting for about 70 percent of state and local roadway expenditures.”¹⁷ Because pavements and their supporting structure make up a majority of roadway infrastructure cost and materials quantities, they should be given commensurate attention. Also, while items such as highway runoff, safety and the environment are closely regulated (and thus, there are comparatively fewer opportunities for Voluntary Credit points), items like materials use are less regulated (and tend to be governed more by specification) and thus have comparatively more opportunities for Voluntary Credit points. Thus, there is a category devoted to Pavement Technologies in addition to the Materials and Resources category.

FUTURE CHANGES

Greenroads will change in the future as more information is gathered and new industry standard practices/rules are developed. This means that new credits could be added, old ones removed, point values changed, certification levels adjusted and more. No matter what the current Greenroads version is, we are already working on the next. Therefore, user comments are welcomed and might very well be incorporated into the next version.

¹⁶ Hofstetter, P., Müller-Wenk, R., 2005. Monetization of health damages from road noise with implications for monetizing health impacts in life cycle assessment. *J. of Clean. Production* 13, 1235-1245.

¹⁷ Bureau of Transportation Statistics, Transportation Statistics Annual Report 2007. Table G-8: Public Expenditures on Construction of Highways and Streets: January 2006-May 2007.



Voluntary Credit Category Weights

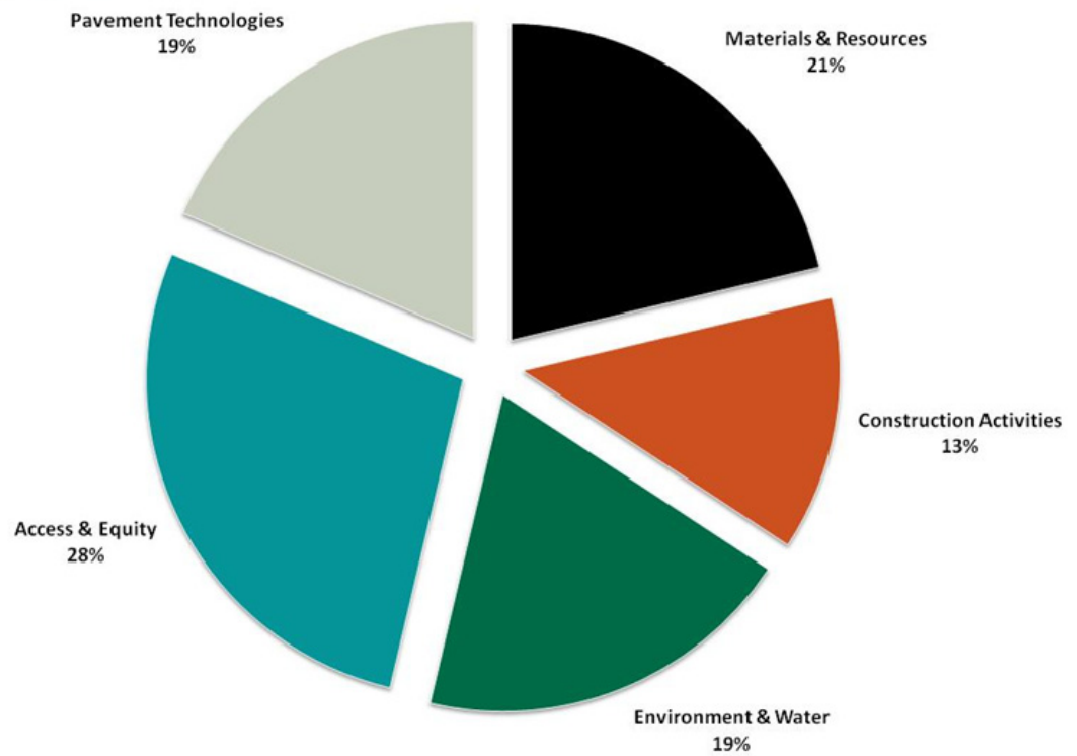


Figure I.1: Graph showing the distribution of Voluntary Credit points (by percentage of the total) in each of the 5 categories.

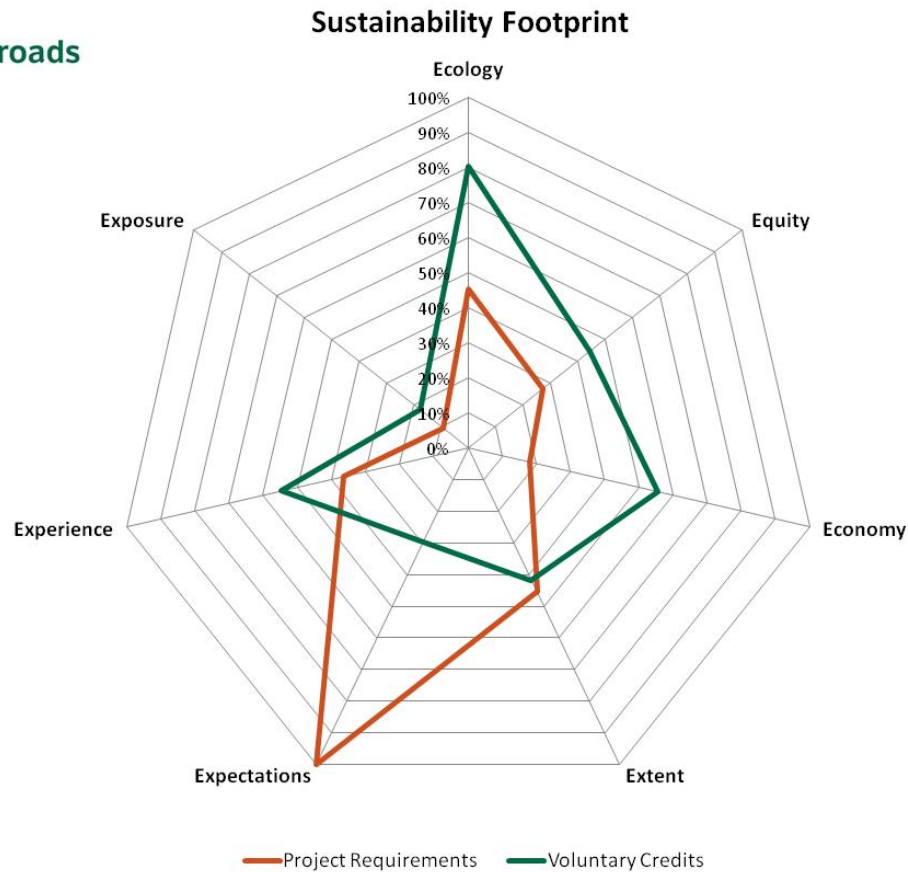


Figure I.2: Spider graph showing the percentage of Project Requirements and Voluntary Credit points (not including any Custom Credits) that can be traced to each of the seven components of sustainability as defined by Greenroads.

An example of how to read this graph: The sustainability component “ecology” shows that 80% of the Voluntary Credit points and 63% of the Project Requirements can be traced back to it. Note that most Project Requirements and Voluntary Credits can be traced to more than one component.



Greenroads Benefits Footprint

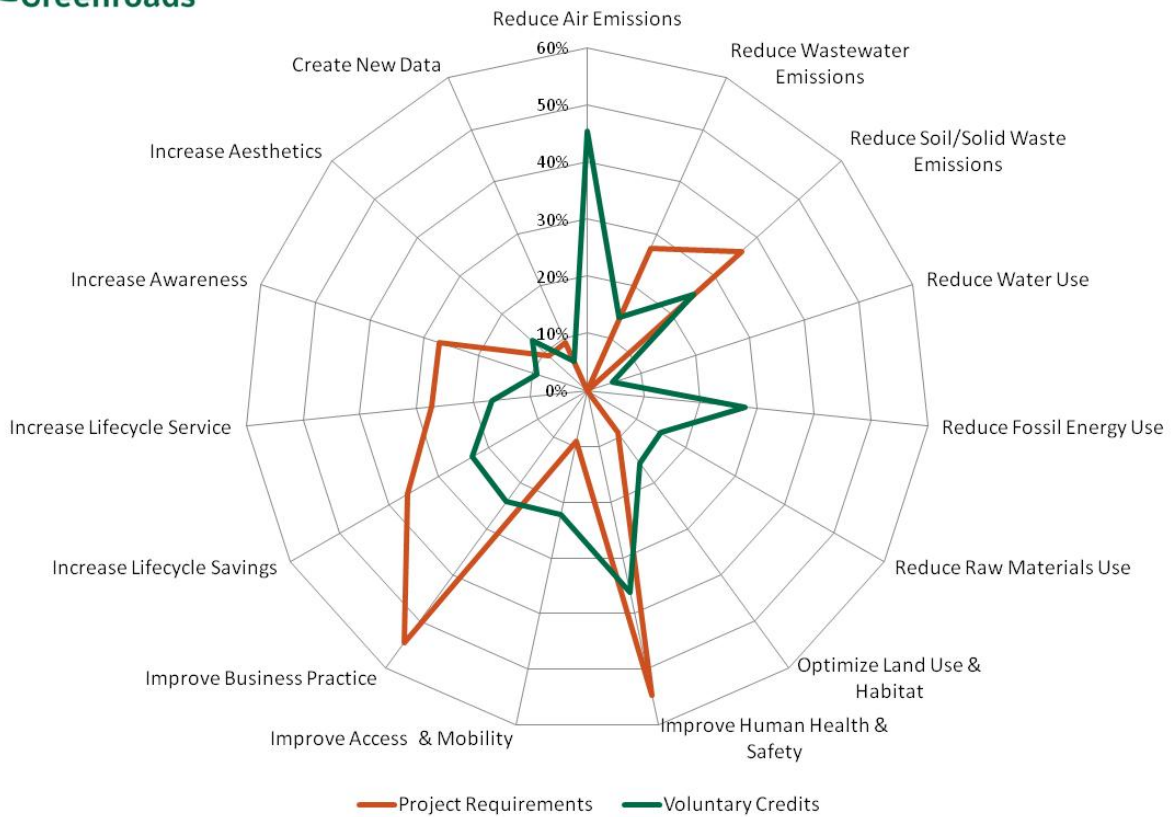


Figure 1.3: Spider graph showing the percentage of Project Requirements and Voluntary Credit points (not including any Custom Credits) that can be traced to one of sixteen sustainability benefits as defined by Greenroads. Note that “create energy” has been excluded because no credits do this currently.

An example of how to read this graph: The benefit “reduce wastewater emissions” shows that 14% of the Voluntary Credit points and 27% of the Project Requirements can be traced back to it. Note that most Project Requirements and Voluntary Credits can be traced to more than one component.

CREDIT CHECKLIST

Note: This checklist is two pages.

| No. | Title | Pts. | Brief Description |
|-------------------------------------|---|-----------|---|
| Project Requirements (PR) | | | |
| <input type="checkbox"/> | PR-1 Environmental Review Process | Req | Complete an environmental review process |
| <input type="checkbox"/> | PR-2 Lifecycle Cost Analysis (LCCA) | Req | Perform LCCA for pavement section |
| <input type="checkbox"/> | PR-3 Lifecycle Inventory (LCI) | Req | Perform LCI of pavement section with software tool |
| <input type="checkbox"/> | PR-4 Quality Control Plan | Req | Have a formal contractor quality control plan |
| <input type="checkbox"/> | PR-5 Noise Mitigation Plan | Req | Have a noise mitigation plan |
| <input type="checkbox"/> | PR-6 Waste Management Plan | Req | Have a formal plan to divert C&D waste from landfill |
| <input type="checkbox"/> | PR-7 Pollution Prevention Plan | Req | Have a TESC/SWPPP |
| <input type="checkbox"/> | PR-8 Low-Impact Development (LID) | Req | Feasibility study for LID techniques for stormwater |
| <input type="checkbox"/> | PR-9 Pavement Management System | Req | Have a pavement preservation system |
| <input type="checkbox"/> | PR-10 Site Maintenance Plan | Req | Have a maintenance plan for environment, utilities |
| <input type="checkbox"/> | PR-11 Educational Outreach | Req | Publicize sustainability information for project |
| | PR Subtotal: | 11 | |
| Environment & Water (EW) | | | |
| <input type="checkbox"/> | EW-1 Environmental Management System | 2 | Obtain ISO 14001 certification for general contractor |
| <input type="checkbox"/> | EW-2 Runoff Flow Control | 3 | Reduce runoff quantity |
| <input type="checkbox"/> | EW-3 Runoff Quality | 3 | Treat stormwater on-site |
| <input type="checkbox"/> | EW-4 Stormwater Cost Analysis | 1 | Conduct an LCCA for stormwater BMP/LID selection |
| <input type="checkbox"/> | EW-5 Site Vegetation | 3 | Use native low/no water vegetation |
| <input type="checkbox"/> | EW-6 Habitat Restoration | 3 | Create new habitat beyond what is required |
| <input type="checkbox"/> | EW-7 Ecological Connectivity | 3 | Connect habitat across roadways |
| <input type="checkbox"/> | EW-8 Light Pollution | 3 | Discourage light pollution |
| | EW Subtotal: | 21 | |
| Access & Equity (AE) | | | |
| <input type="checkbox"/> | AE-1 Safety Audit | 2 | Perform roadway safety audit |
| <input type="checkbox"/> | AE-2 Intelligent Transportation Systems | 5 | Implement ITS solutions |
| <input type="checkbox"/> | AE-3 Context Sensitive Solutions | 5 | Plan for context sensitive solutions |
| <input type="checkbox"/> | AE-4 Traffic Emissions Reduction | 5 | Systematically reduce air emissions |
| <input type="checkbox"/> | AE-5 Pedestrian Access | 2 | Provide/improve pedestrian accessibility |
| <input type="checkbox"/> | AE-6 Bicycle Access | 2 | Provide/improve bicycle accessibility |
| <input type="checkbox"/> | AE-7 Transit & HOV Access | 5 | Provide/improve transit accessibility |
| <input type="checkbox"/> | AE-8 Scenic Views | 2 | Provide views of scenery or vistas |
| <input type="checkbox"/> | AE-9 Cultural Outreach | 2 | Promote art/culture/community values on roadway |
| | AE Subtotal: | 30 | |

| Construction Activities (CA) | | | |
|---------------------------------------|------|---------------------------------|--|
| <input type="checkbox"/> | CA-1 | Quality Management System (QMS) | 2 Obtain ISO 9001 certification for general contractor |
| <input type="checkbox"/> | CA-2 | Environmental Training | 1 Provide environmental and safety training |
| <input type="checkbox"/> | CA-3 | Site Recycling Plan | 1 Provide plan for on-site recycling and trash |
| <input type="checkbox"/> | CA-4 | Fossil Fuel Reduction | 2 Use alternative fuels in construction equipment |
| <input type="checkbox"/> | CA-5 | Equipment Emission Reduction | 2 Meet EPA Tier 4 standards for non-road equipment |
| <input type="checkbox"/> | CA-6 | Paving Emission Reduction | 1 Use pavers that meet NIOSH requirements. |
| <input type="checkbox"/> | CA-7 | Water Use Tracking | 2 Develop data on water use in construction |
| <input type="checkbox"/> | CA-8 | Contractor Warranty | 3 Offer an extended warranty on pavement |
| | | CA Subtotal: | 14 |
| Materials & Resources (MR) | | | |
| <input type="checkbox"/> | MR-1 | Lifecycle Assessment (LCA) | 2 Conduct a detailed LCA of the entire project |
| <input type="checkbox"/> | MR-2 | Pavement Reuse | 5 Reuse existing pavement sections |
| <input type="checkbox"/> | MR-3 | Earthwork Balance | 1 Balance cut/fill quantities |
| <input type="checkbox"/> | MR-4 | Recycled Materials | 5 Use recycled materials for new pavement |
| <input type="checkbox"/> | MR-5 | Regional Materials | 5 Use regional materials to reduce emissions |
| <input type="checkbox"/> | MR-6 | Energy Efficiency | 5 Improve energy efficiency of operational systems |
| | | MR Subtotal: | 23 |
| Pavement Technologies (PT) | | | |
| <input type="checkbox"/> | PT-1 | Long-Life Pavement | 5 Design pavements for long-life |
| <input type="checkbox"/> | PT-2 | Permeable Pavement | 3 Use permeable pavement as a LID technique |
| <input type="checkbox"/> | PT-3 | Warm Mix Asphalt | 3 Use WMA in place of HMA |
| <input type="checkbox"/> | PT-4 | Cool Pavement | 5 Use a surface that retains less heat |
| <input type="checkbox"/> | PT-5 | Quiet Pavement | 3 Use a quiet pavement to reduce noise |
| <input type="checkbox"/> | PT-6 | Pavement Performance Tracking | 1 Collect performance data as related to construction |
| | | PT Subtotal: | 20 |
| Custom Credit (CC) | | | |
| <input type="checkbox"/> | CC-1 | <i>Custom Credit Title</i> | 10 Design your own credit |
| | | CC Subtotal: | 10 |
| Greenroads Total: | | | 118 |